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21269 7590 04/28/2005

PEPPER HAMILTON LLP
 ONE MELLON CENTER, 50TH FLOOR
 500 GRANT STREET
 PITTSBURGH, PA 15219



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Kathleen Pujol

(Depositor's name)

(Signature)

May 9, 2005

(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/965,967	09/28/2001	Yigong Shi	PU-0031	5189

TITLE OF INVENTION: COMPOSITIONS AND METHOD FOR REGULATING APOPTOSIS

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	07/28/2005

EXAMINER	ART UNIT	CLASS-SUBCLASS
SNEDDEN, SHERIDAN	1653	514-016000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 Raymond A. Miller

2 Pepper Hamilton LLP

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3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The Trustees of Princeton University

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

Princeton, NJ

05/10/2005 AWONDAF2 00000033 09965967

01 FC:2501

02 FC:1504

700.00 OP
300.00 OPPlease check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee
☒ Publication Fee (No small entity discount permitted)
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5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.

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Authorized Signature

Raymond A. Miller

Date

May 9, 2005

Typed or printed name

Registration No.

42,891

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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pepper hamilton

No. 7304 P. 1

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FAX INFORMATION SHEET

Date: May 9, 2005
ID Number: 61297
Identifier: 112911.01701

<u>Recipient's Name</u>	<u>Company</u>	<u>General Number</u>	<u>Fax Number</u>
USPTO			703 746 - 4000

Sender: Raymond A. Miller
Sender's Direct Line: 412.454.5017
Sender's Email Address: millerra@pepperlaw.com

Total Pages Including Cover: 4

Comments: ATTACHED ARE AN ISSUE FEE TRANSMITTAL AND RELATED DOCUMENTATION FOR SERIAL NO. 09/965,967

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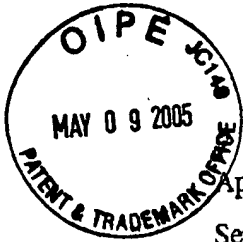
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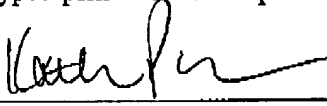


CERTIFICATE OF FACSIMILE UNDER 37 C.F.R. §1.6 & §1.8

Applicant:	Shi	Examiner:	Snedden
Serial No.:	09/965,967	Group Art Unit:	1653
Filed:	September 28, 2001	Docket No.:	112911.01701
Title:	Compositions and Methods for Regulating Apoptosis		

I hereby certify that this "AMENDMENT AND RESPONSE TO OFFICE ACTION" and is being sent via facsimile to fax number 703-872-9306 under 37 C.F.R. §1.6 & §1.8 on May 9, 2005.

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